

FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Name of the Insurer: Universal Sampo General Insurance Company Limited

Registration Number: 134

Statement as on: 30th September 2021

Name of the Fund: UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Amount in Rs. Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³				
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	
1	CENTRAL GOVERNMENT SECURITIES	CGSB	99,573.86	1,616.23	6.44	4.82	95,558.08	2,963.50	6.19	4.63	75,144.95	2,567.02	6.81	5.10	
		CTRB	-	-	-	-	-	0.00	-	-	-	-	-	-	
2	STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARANTEED SEC.	CDSS	-	-	-	-	-	0.00	-	-	-	-	-	-	
		SGOA	1,366.47	20.35	5.91	4.42	1,368.29	40.74	5.94	4.44	478.10	4.93	5.38	4.02	
		SGGB	46,546.92	1,053.10	8.98	6.72	47,879.53	2,101.40	8.75	6.55	43,736.27	1,565.38	7.14	5.34	
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	31,672.85	481.87	6.04	4.52	32,167.88	979.91	6.08	4.55	24,932.76	996.29	7.97	5.96	
		Bonds / Debentures issued by HUDCO	HTHD	10,684.72	178.23	6.62	4.95	9,627.38	325.63	6.75	5.05	10,687.98	369.84	6.90	5.16
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS	Infrastructure - PSU - Equity shares - Quoted	ITPE	92.19	1.88	8.09	6.05	90.09	8.33	18.44	13.80	47.08	1.25	5.31	3.97
		Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	0.00	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	81,719.11	1,549.21	7.52	5.63	79,567.77	2,870.86	7.20	5.39	83,683.70	3,227.81	7.69	5.76	
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	5,565.52	111.39	7.94	5.94	5,739.13	228.61	7.95	5.95	8,424.70	349.19	8.27	6.19	
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	-	-	-	-	474.96	1.44	9.25	6.92	2,292.98	91.38	7.95	5.95	
	Infrastructure - PSU - CPs	ICPC	-	-	-	-	-	0.00	-	-	-	-	-		
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	0.00	-	-	-	-	-		
	Infrastructure - PSU - Debentures / Bonds - Tax Free Bond	IPFD	1,046.16	29.82	11.31	11.31	1,047.60	56.39	10.74	10.74	2,320.58	183.88	15.80	15.80	
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS		-	-	-	-	-	0.00	-	-	-	-	-		
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	515.21	17.72	13.64	10.21	520.55	22.21	8.51	6.37	1,088.35	(7.14)	(1.31)	(1.31)	
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	2,742.14	142.62	20.63	15.44	2,561.99	252.96	19.69	14.74	1,575.95	(7.69)	(0.97)	(0.97)	
	Corporate Securities - Bond Taxable	EPBT	2,404.28	85.16	14.05	10.52	2,453.08	122.86	9.99	7.48	1,447.98	45.94	6.33	4.74	
	Corporate Securities - (Approved Investment) - Debentures	ECOS	49,932.38	975.36	7.75	5.80	51,911.82	1,948.00	7.48	5.60	40,080.29	1,505.50	7.49	5.61	
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	-	-	-	-	-	-		
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-		
	Deposits - Deposit with Scheduled Banks	EADB	11,106.80	144.79	5.17	3.87	10,938.37	295.17	5.38	4.03	6,863.95	187.16	5.44	4.07	
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	2,963.44	56.13	7.51	5.62	2,985.20	119.15	7.96	5.96	3,007.29	126.68	8.40	6.29	
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,089.83	17.92	4.97	3.72	3,524.98	59.55	4.57	3.42	4,072.40	94.00	4.65	3.48	
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	1,002.05	22.30	8.83	6.61	1,002.05	44.36	8.83	6.61	1,002.08	44.33	8.82	6.60	
6	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-		
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-		
	Mutual Funds - Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	-	-	-	-	-	-		
	Debentures	OLDB	200.00	0.01	0.01	0.01	200.00	0.01	0.01	0.01	1,375.50	(0.10)	(0.01)	(0.01)	
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	0.00	-	-	-	-	-		
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	0.00	-	-	-	-	-		
	Equity Shares (PSUs & Unlisted)	OEPD	191.67	34.67	71.76	53.69	189.60	60.28	63.41	47.45	193.70	-	-		
	Passively Managed Equity ETF Non Promoter Group)	OETF	137.42	-	-	-	151.34	10.09	13.30	9.96	224.38	-	-		
	Commercial Papers	OACP	-	-	-	-	-	0.00	-	-	-	-	-		
	Alternative Investment Fund	OAFB	500.00	25.72	20.41	15.27	437.70	25.72	11.72	8.77	-	-	-		
	TOTAL		3,61,394.29	6,564.46	7.41	6.54	3,49,029.00	12,537.18	7.16	6.36	3,12,230.11	11,345.64	7.25	6.42	

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

⁴ FORM shall be prepared in respect of each fund.

⁵ YTD Income on investment shall be reconciled with figures in P&L and Revenue account

⁶ Investment Regulations, as amended from time to time, to be referred